**Information from Appointor for Independent Adviser application**

***All fields are mandatory. If a field is not relevant to your application, provide a statement to that effect.***

***The space allocated for each field is not necessarily indicative of the amount of information required.***

**Note that this form must be completed by the appointor – not the applicant.**

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| Applicant | The firm applying for approval as an independent advisor |
| Appointor | The [Code company](http://www.legislation.govt.nz/regulation/public/2000/0210/latest/whole.html?search=ts_all%40act%40bill%40regulation_Takeovers+Code+Approval+Order_resel&p=1#DLM10106) (or for rule 22 or rule 57(1), the offeror) instructing the applicant |

**Transaction background information**

1. **Provide:**
2. **the name of the applicant; and**
3. **a brief outline of** [the Code](http://www.legislation.govt.nz/regulation/public/2000/0210/latest/DLM10101.html?search=ts_all%40act%40bill%40regulation_Takeovers+Code+Approval+Order_resel&p=1) **transaction or series of transactions to which the appointment relates.**

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1. **The appointor requests that the applicant be approved as an independent adviser.**

**Yes No**

**Appointor details**

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1. **Full name**

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1. **Address**

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1. **Phone number**

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1. **E-mail address**

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1. **Place of business   
   (if different from address above)**
2. **Describe the process undertaken by the appointor to select the applicant. This description must include, but is not limited to:**
3. Date on which appointor approached applicant in respect of the proposed engagement.

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1. A description of any competitive process used to choose the applicant.

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1. Number of firms considered by the appointor in determining whether to appoint the applicant.

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1. The factors that were taken into account by the appointor in determining whether to appoint the applicant.

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1. Whether the appointor and the applicant discussed the valuation methodology to be used in the proposed engagement, and, if so, particulars of that discussion.

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1. Whether the appointor and the applicant discussed the price and/or any parameters of the valuation, and, if so, particulars of that discussion.

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1. Any other relevant information.

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**This information can be sent to** [takeovers.panel@takeovers.govt.nz](mailto:takeovers.panel@takeovers.govt.nz)

**or**

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Wellington 6011